

American Association of Private Lenders

Ethics Advisory Committee

PETITION FOR REINSTATEMENT AFTER VIOLATION OF THE AAPL CODE OF ETHICS

Persons whose AAPL membership and certification have been revoked may petition the Ethics Committee for reinstatement at the completion of the revocation period. The Ethics Committee will review petitions for reinstatement that are fully complete and have been received no later than 30-days after the date of notice of the Ethics Committee Decision after Further Consideration was mailed to the Respondent.

The Ethics Advisory Committee and AAPL management's deliberations are guided by the premise that reinstatement must be in the best interest of the Association and those persons who will be served professionally. The Ethics Committee and AAPL management may set any reinstatement conditions or requirements they deem necessary for the protection and benefit of the public and professions.

Please complete the following information:

DATE: _____

PRINTED Applicant Name: _____

Term of Revocation: _____

Effective Date: _____ Expiration Date: _____

Complete Address: _____

Contact Number: _____ E-mail: _____

Preparing Your Statement

You, the petitioner, bear the burden of demonstrating with appropriate documentation that the conditions that lead to the revocation of your membership and certification have been reflected and that upon reinstatement you will abide by AAPLs Code of Ethics. Information related to the following should be included in your petition reinstatement, where applicable:

- Explain how restitution was made for the action(s) that lead to the revocation.
- Provide acknowledgement of your wrong-doing/problem that resulted in revocation.
- Explain how you have been rehabilitated.
- Explain how you are maintaining and continuing to manage your corrective action.
- Provide evidence of continuing education and/or training completed related to the action(s) that led to revocation.
- Provide a statement of your current licensing status

- Explain how you will continue to abide by the AAPL Code of Ethics
- Include all supporting documentation related to the statement in your petition.

Affirmation

- I have enclosed a written statement petitioning for reinstatement
- I have enclosed documentation supporting statements in my petition.
- I affirm that the statements and information in my petition are complete and truthful.

Signature

Date

Send petition and accompanying documentation in an envelope marked **CONFIDENTIAL** to:

American Association of Private Lenders
7509 NW Tiffany Springs Pkwy, Ste 200
Kansas City, MO 64153

For general questions about the ethics complaint filing process contact:

- Chrissey Breault, Director of Marketing & Education Services
cbreault@aaplonline.com
- Linda Hyde, Director of Operations & Member Services
LHyde@aaplonline.com

Office Use Only:

EAC Meeting/Decision Date: _____

Reinstatement Denied Approved

Effective Date: _____